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## Detecting tree species-specific forest health anomalies using Sentinel-2 time series

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# Motivation

## Forest health research

- Increasing forest cover loss: drought, bark beetle, fire, windfall
- Increasing need for disturbance detection, vulnerability assessment and risk management
- Important input for ecological models: biomass, carbon flux etc.



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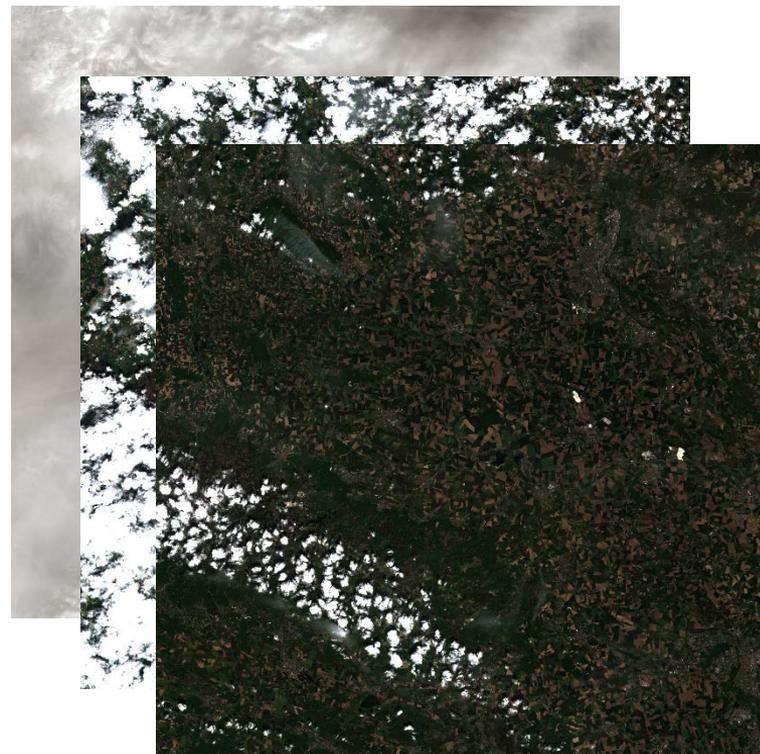


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# Data

## Satellite data: Sentinel-2 A/B Level 2A

- Germany-wide, 2016 - 2021
- 20 *m* spatial resolution
- 3-5 *days* temporal resolution
- Level 2A: atmospherically, topographically and cirrus corrected
- Cloud masks from sen2cor scene classification

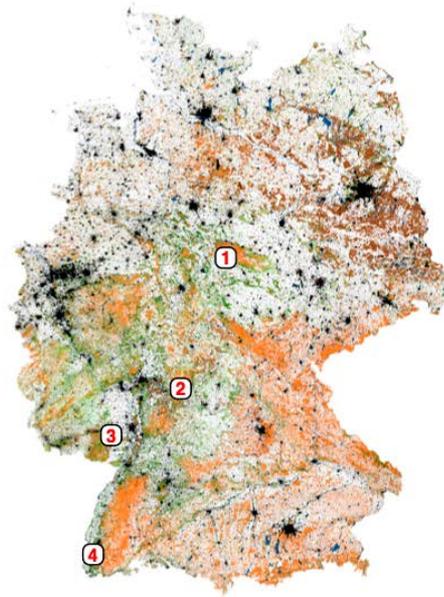


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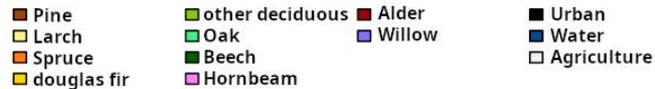
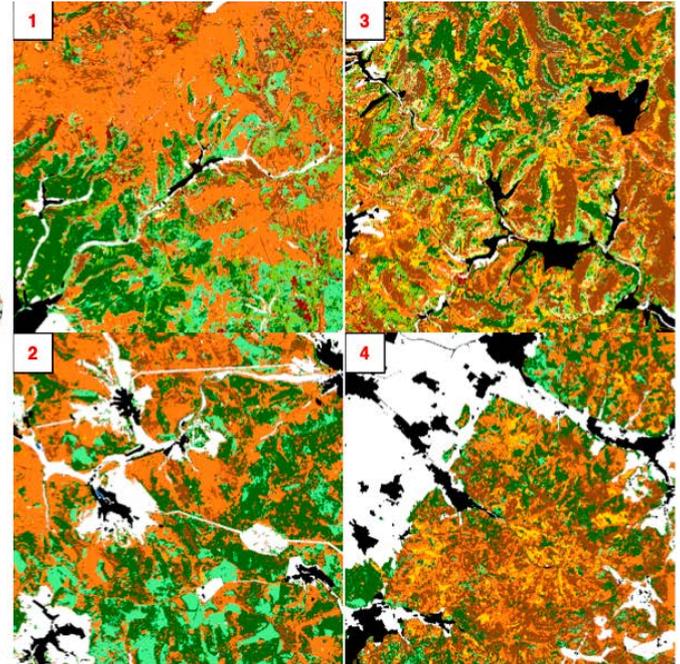
# Data

## Tree species classification

- Germany-wide, 2016
- 20 m spatial resolution
- Based on Sentinel-2 data and forest inventories
- 9 (main) tree species



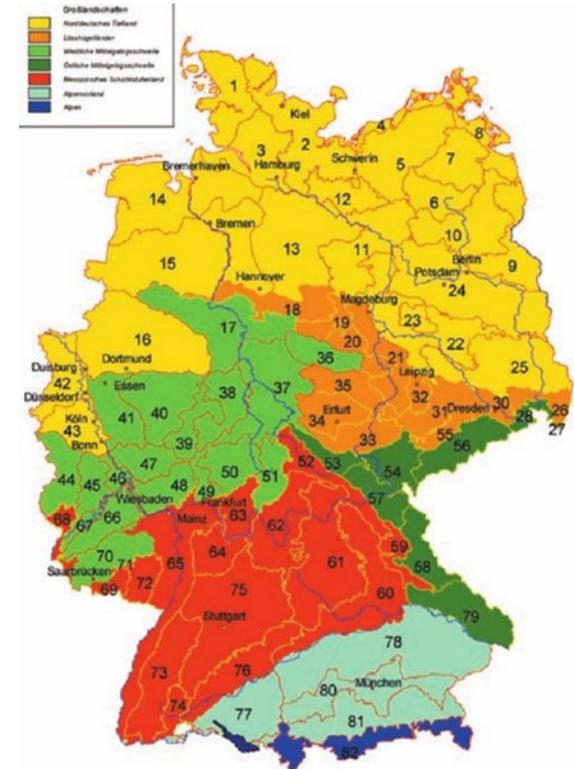
Preidl, 2020



# Data

## Regionalisation

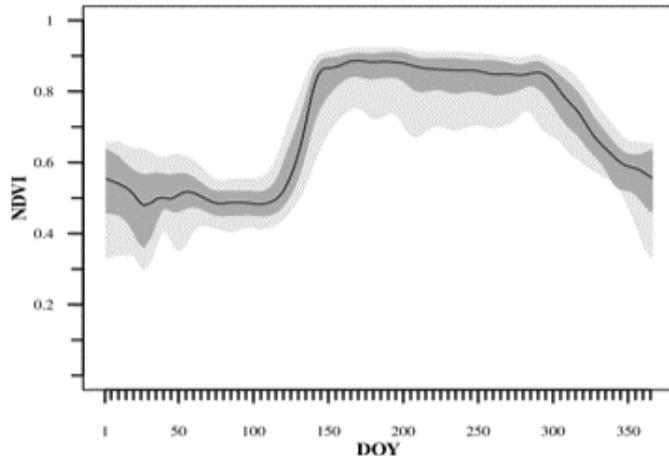
- 6 forestry regions across Germany („Forstliche Großlandschaften“)
- Difference in morphological, orographical and climatic conditions
- Forest health anomaly detection using reference time series for each **species and region**



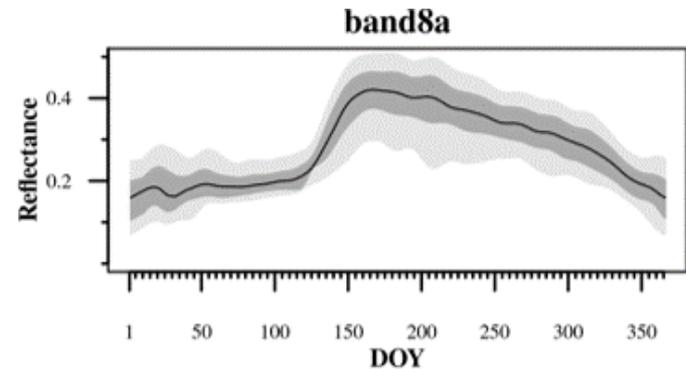
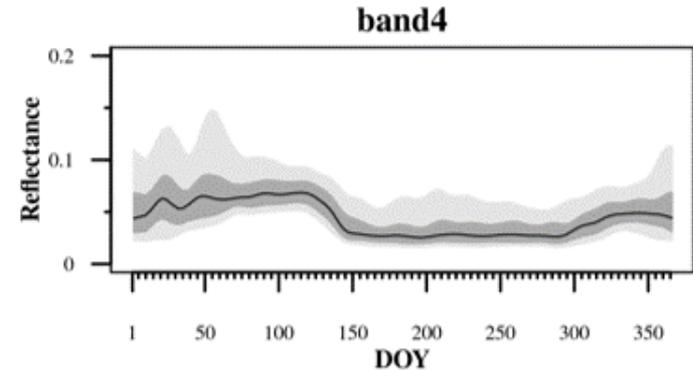
# Procedure

## Step 1: Tree species specific feature spaces spanned by reflectance time series

- Statistics of reflectance time series (all bands, n=9) of a species in a given region
- Outlier removal & smoothing
- Here: Oak, „Lösshügelländer“ (Germany)

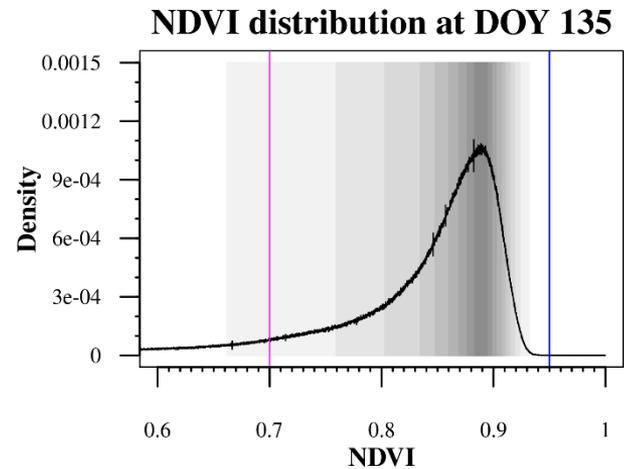
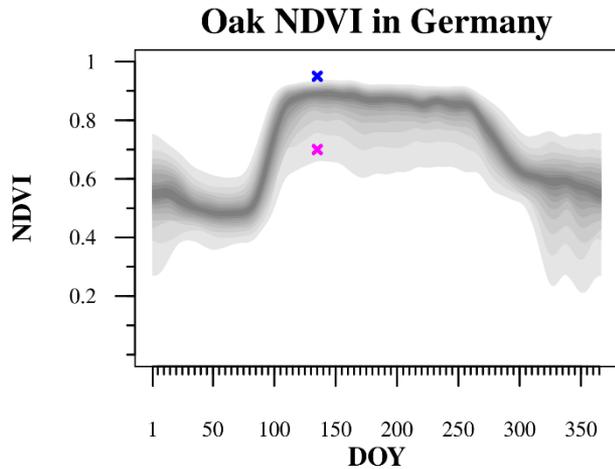


— Median  
■ 10%-90% quantile  
■ 25%-75% quantile



# Procedure

## Step 2: Calculate a (dis)similarity metric

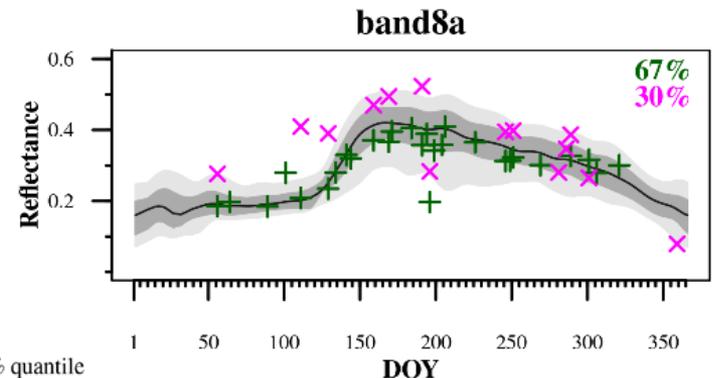
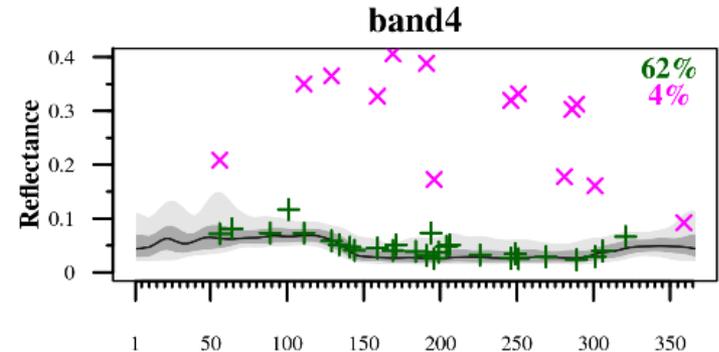


- Distribution-dependent distance to median (50%-percentile)
- Numeric approximation: number of percentiles between measurement and median

# Procedure

## Step 3: Average (dis)similarity metrics for each pixel's time series

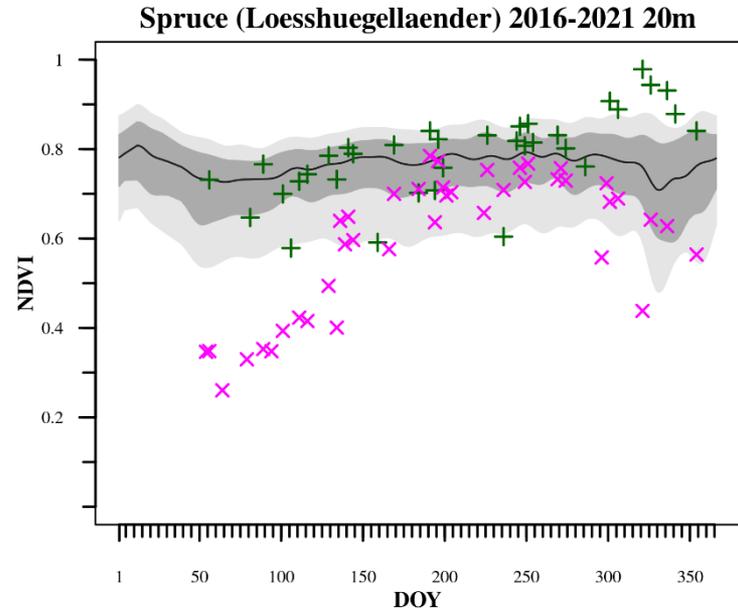
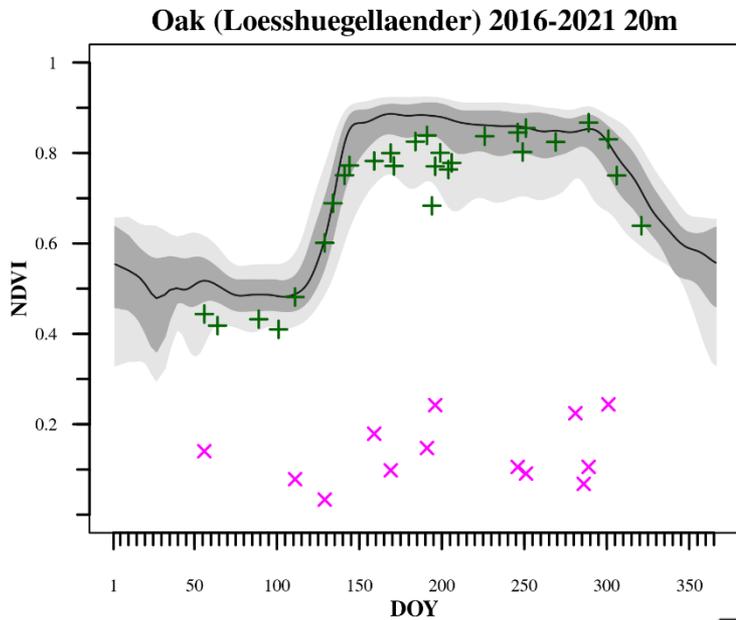
- Here: 2 pixels covering oak trees in „Hohes Holz“ (Germany)
- Comparison with statistics of oak reflectances in the „Lösshügelländer“ (Germany) 2016-2021
- (Weighted) mean of spectral dissimilarity values (bands, time):
  - **Magenta:** 0.11 or 11% (less similar)
  - **Green:** 0.65 or 65% (more similar)
- Simplification in future slides: Similarity values → „forest condition“ (FC)



— Median  
■ 10%-90% quantile  
■ 25%-75% quantile

# Procedure

## Step 3: Average (dis)similarity metrics for each pixel's time series



+ FC=53%  
x FC=2%

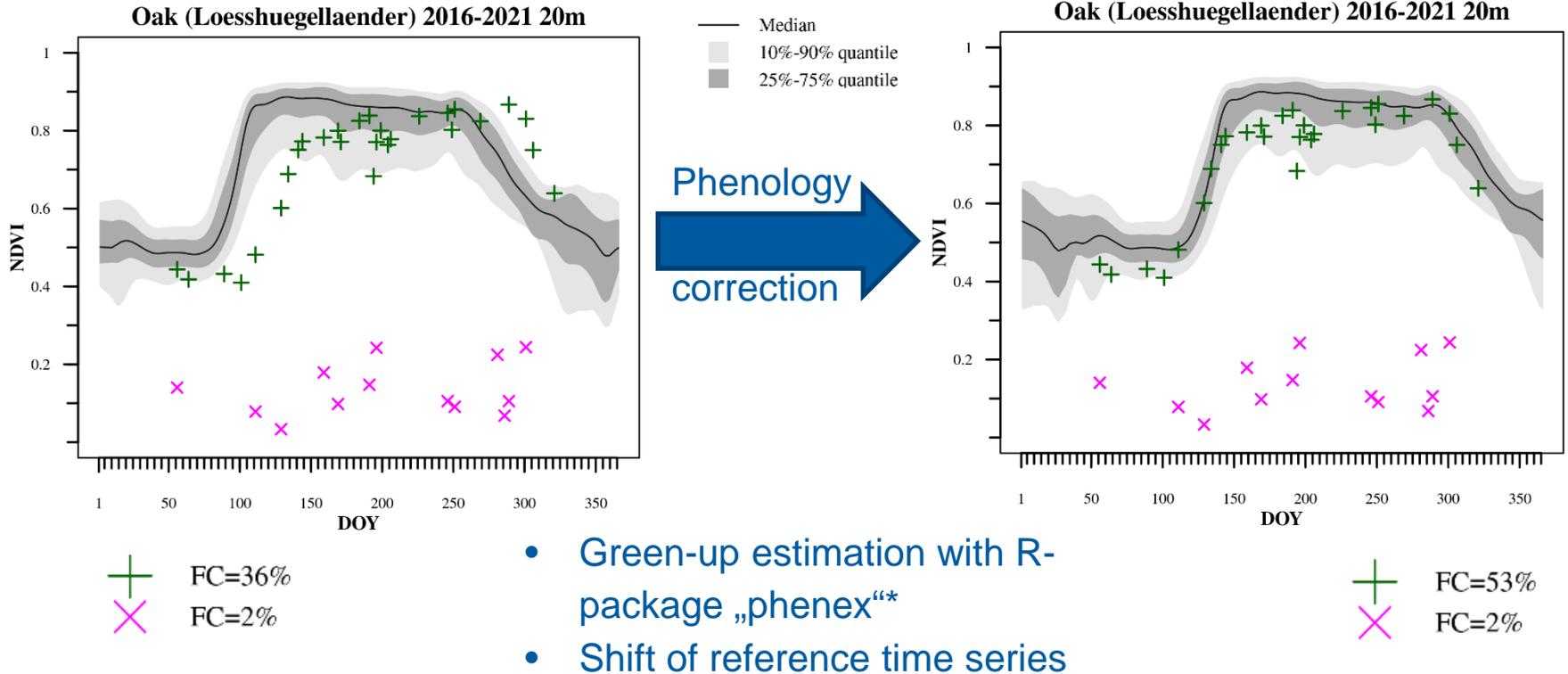
— Median  
10%-90% quantile  
25%-75% quantile

+ FC=48%  
x FC=35%

- Example: Hohes Holz, central Germany, phenology correction

# Procedure

## Phenology Correction

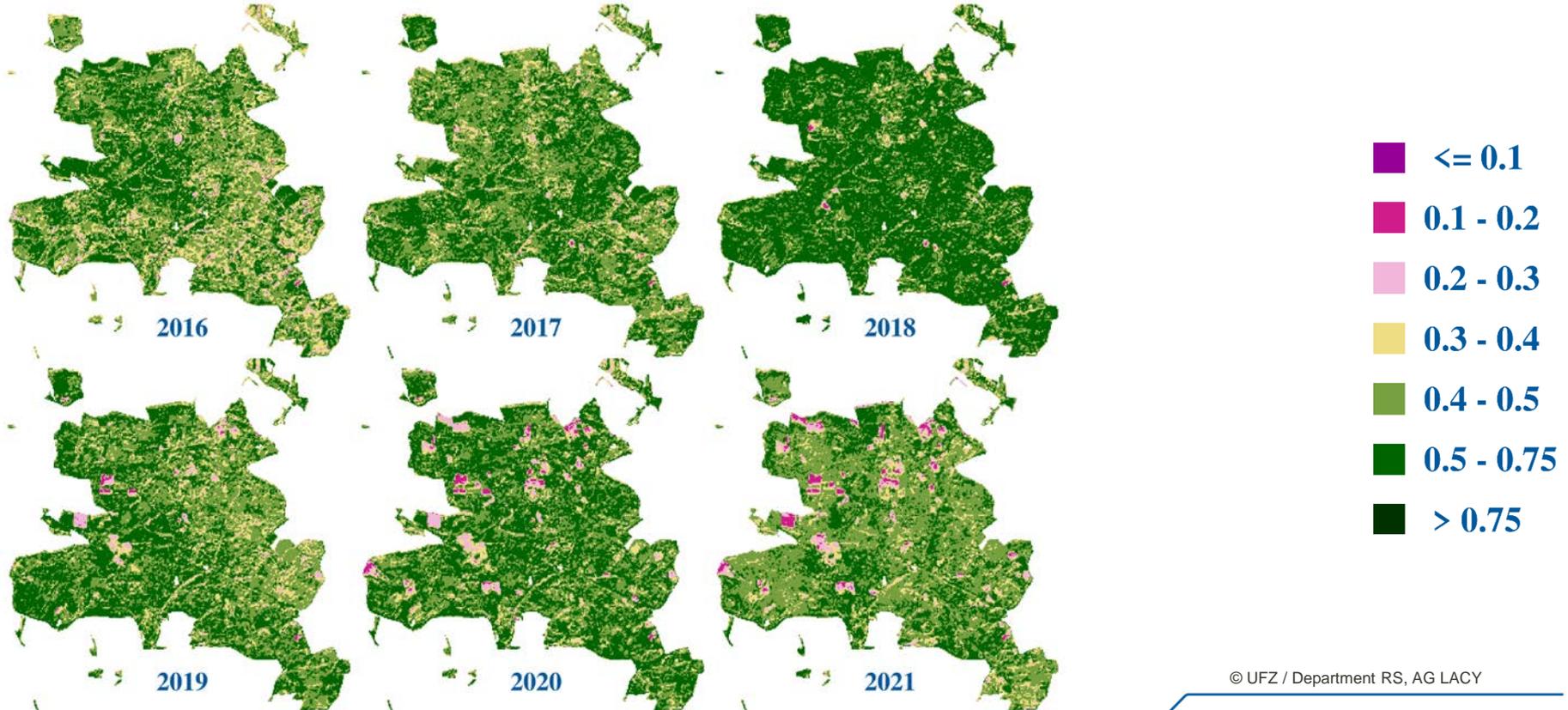


- Green-up estimation with R-package „phenex“\*
- Shift of reference time series

\* Lange M, Doktor D (2017) phenex: Auxiliary Functions for Phenological Data Analysis. R-package version 1.4-5, CRAN.R-project.org/package=phenex (last access 21th Jul 2022)

# Example

Hohes Holz, central Germany, yearly time series



# Examples

## Fire in Frohnsdorf, District Potsdam-Mittelmark, 23th August 2018



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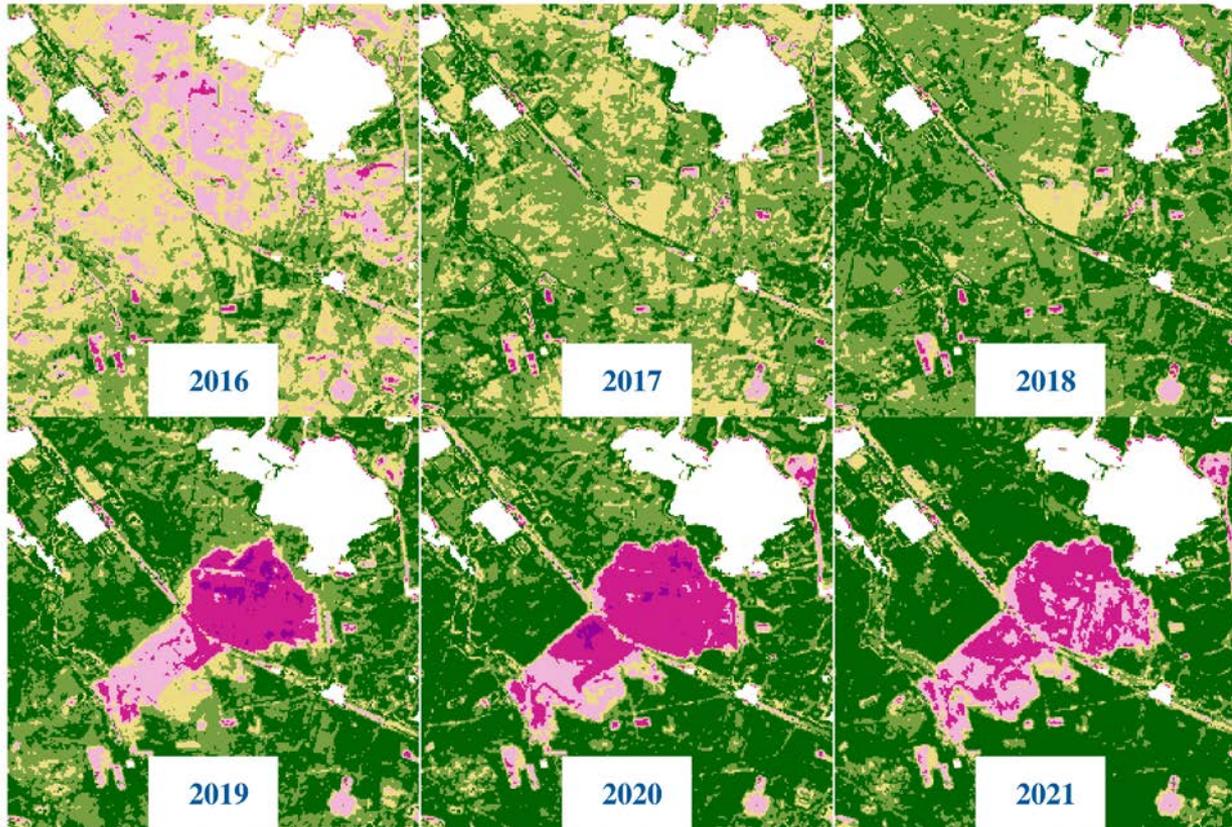


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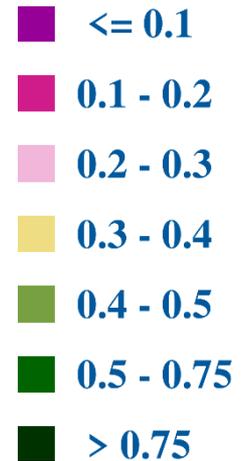
300 ha  
burnt  
area

# Examples

Fire in Frohnsdorf, District Potsdam-Mittelmark, 23th August 2018



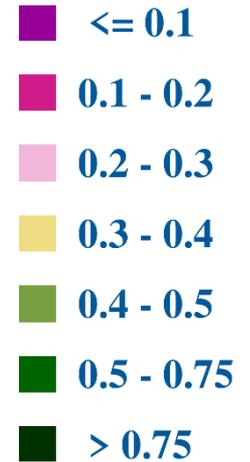
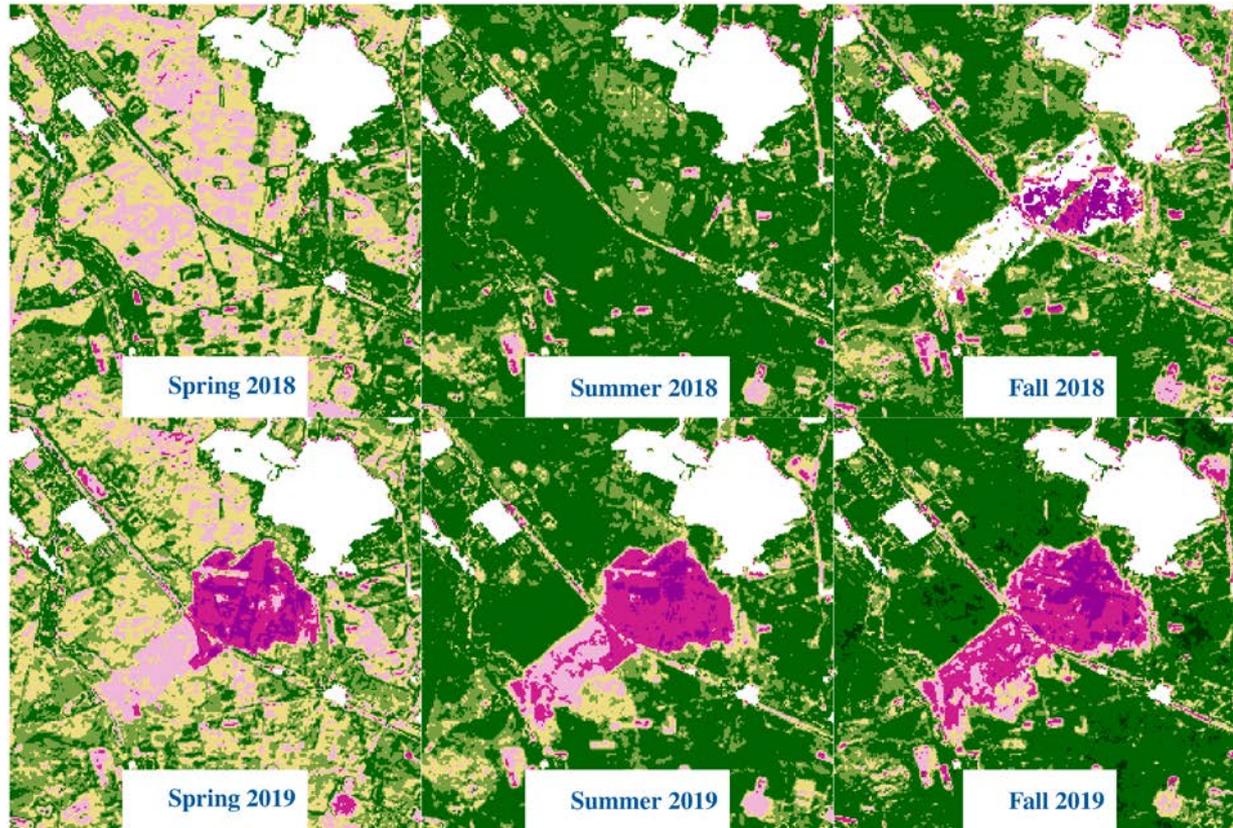
## Forest condition estimate



© UFZ / Department RS, AG LACY

# Examples

Fire in Frohnsdorf, District Potsdam-Mittelmark, 23th August 2018



## Examples

Bad Liebenstein, Wartburgkreis, central Germany, satellite images

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**Aug 2017**



**Jul 2018**

# Examples

Bad Liebenstein, Wartburgkreis, central Germany, Spruce, Windfall



© Google Earth

## Sturm hinterlässt Schlachtfeld: hunderte Bäume niedergemäht

Thüringen

18.03.2018, 18:19



© thuringen24.de 2018 / Sebastian Keybe/19einundachtzig.com

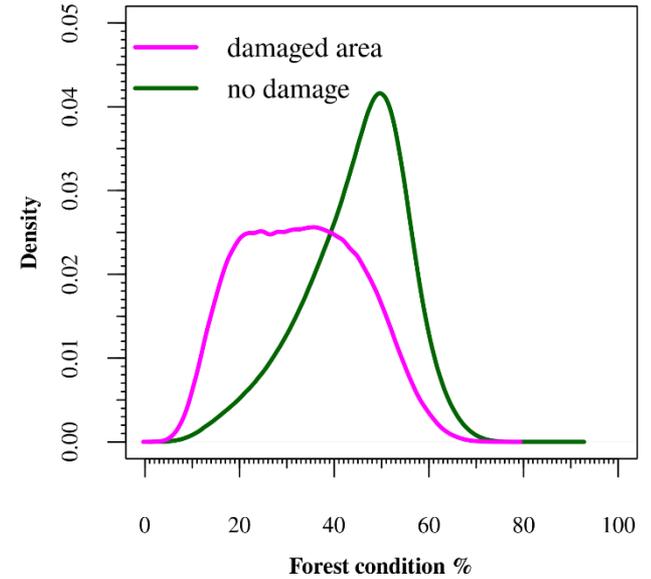
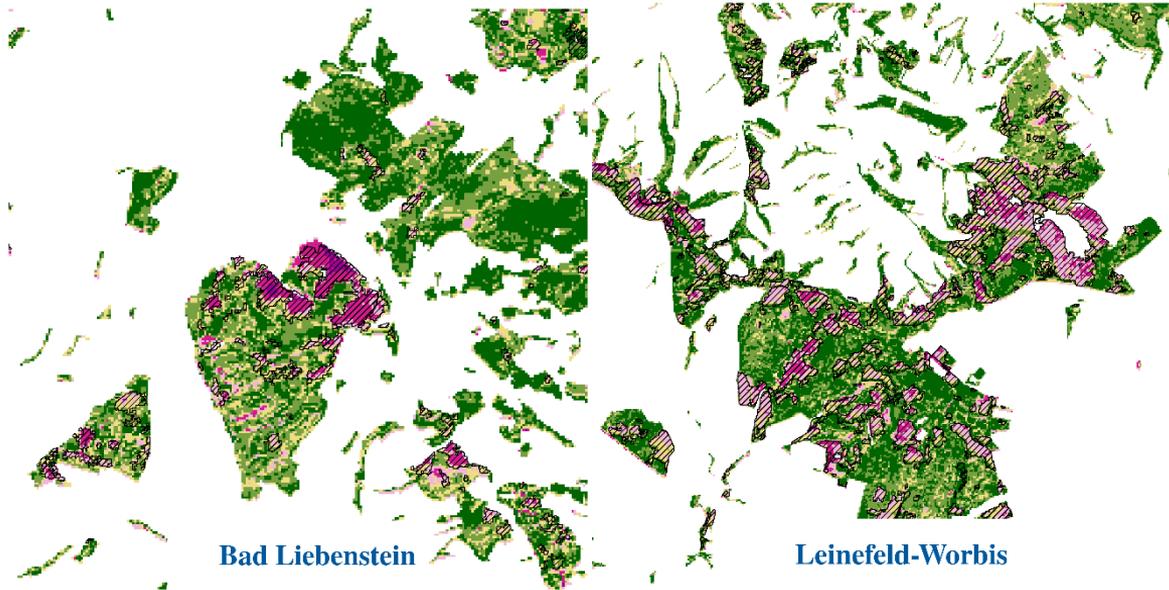
# Example

Bad Liebenstein, Wartburgkreis, central Germany, seasonal time series



# Examples

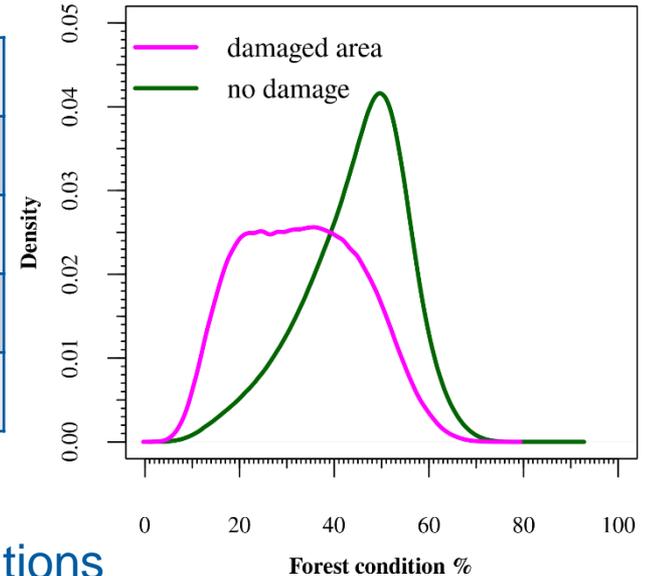
## Damaged areas in Thuringia



# Examples

## Damaged areas in Thuringia

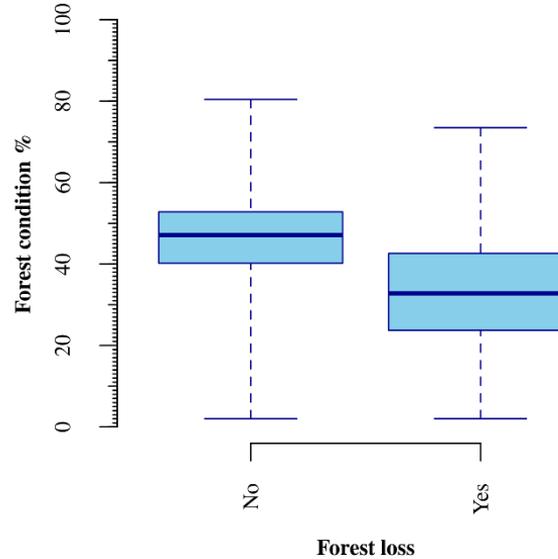
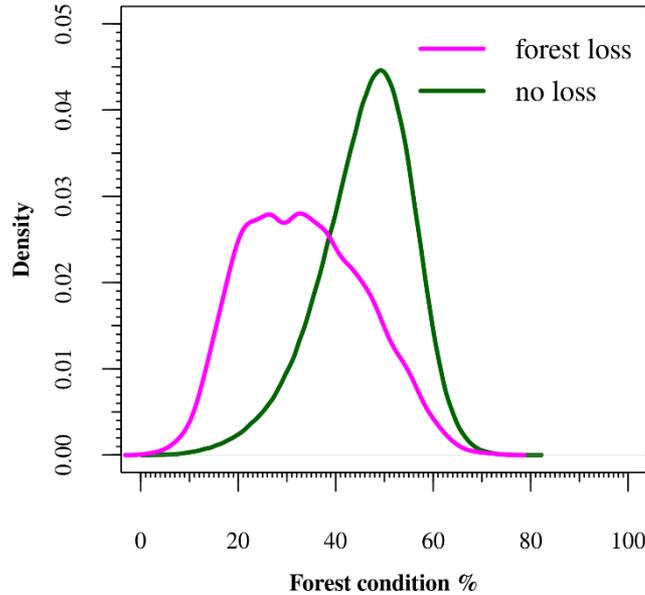
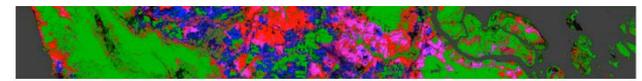
	0	1	total	Users's
FC > 40%	9,139,678	212,183	9,351,861	97.7%
FC < 40%	4,033,252	453,107	4,486,359	10.1%
total	13,172,930	665,290	13,838,220	
Producer's	69.3%	68.1%		96.3%



- Significant ( $p < 2.2e-16$ ) differences of value distributions in areas reported as damaged vs. not damaged
- Threshold for spectral similarity values (FC=0.4)

# Examples

## Global Forest Change (Hansen et. al, 2013\*)



© Hansen/UMD/Google/USGS/NASA

- Comparison of 100,000 pixels in 6 years (2016-2021)

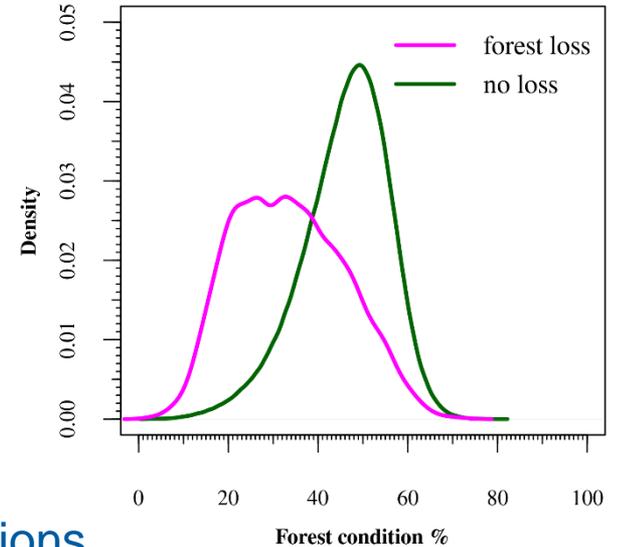
© UFZ / Department RS, AG LACY

\* Hansen, M. C., P. V. Potapov, R. Moore, M. Hancher, S. A. Turubanova, A. Tyukavina, D. Thau, S. V. Stehman, S. J. Goetz, T. R. Loveland, A. Kommareddy, A. Egorov, L. Chini, C. O. Justice, and J. R. G. Townshend. 2013. "High-Resolution Global Maps of 21st-Century Forest Cover Change." *Science* 342 (15 November): 850–53. Data available on-line from: <https://glad.earthengine.app/view/global-forest-change>.

# Examples

## Global Forest Change (Hansen et. al 2013)

	0	1	total	Users's
FC > 40%	445,172	3,310	448,482	99,3%
FC < 40%	144,049	7,426	151,475	4.9%
total	589,221	10,736	599,957	
Producer's	75.5%	69.2%		75.4%



- Significant ( $p < 2.2e-16$ ) differences of value distributions in areas with forest loss vs. no loss
- Threshold for spectral similarity values (FC=0.4)

# Open Issues

## Causal relationships, validation

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- More **spectral anomalies** than reported forest cover loss
  - What are the causal relationships?
  - How to weight the different bands?
- Most products show discrete classes (loss vs. no loss, damage / undamaged)  
→ a **continuous anomaly value** may be beneficial to depict gradual changes
- Validation is critical to assess **performance** and detected **patterns**
  - Data comparable to remote sensing data?
  - Data sources are scarce

# Project

## UFZ Forest Condition Monitor

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- Aim: Knowledge transfer
- Establishment of a **web service**
- More **information & contacts** on project website\*

**Thank you for your attention!**



## Concept

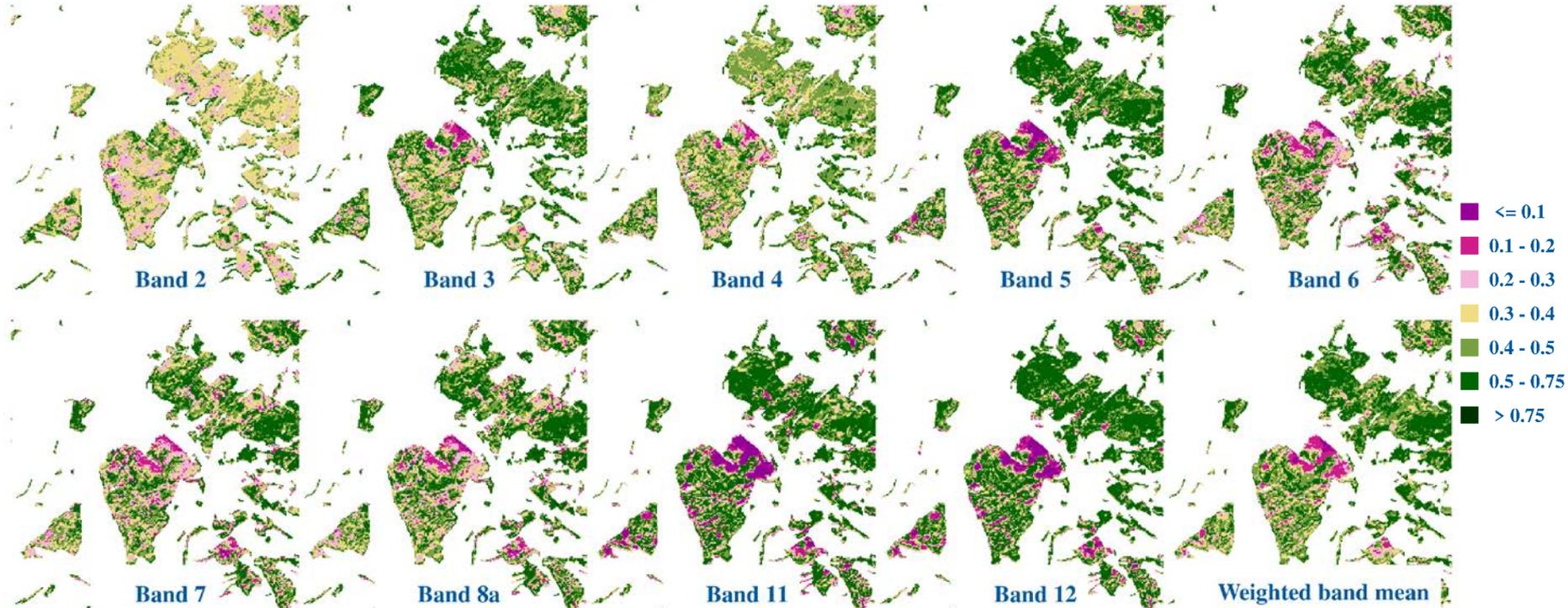
Forest condition monitoring based on spectral and temporal deviations from tree species specific feature spaces spanned by reflectance time series

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- Usage of **all available spectra of a tree species** within a given region
  - all pixels across Germany
  - all observations within a year
  - all available years (2016-2021)
  - all bands ( $n_{\text{bands}}=9$ )
- Estimation of an observation's distance to the median observation of a tree species considering its value distribution
  - Anomalous forest stands will show high distances over time
- **Aggregation of spectral and temporal dimension allows to detect pixels with an accumulation of such anomalies**

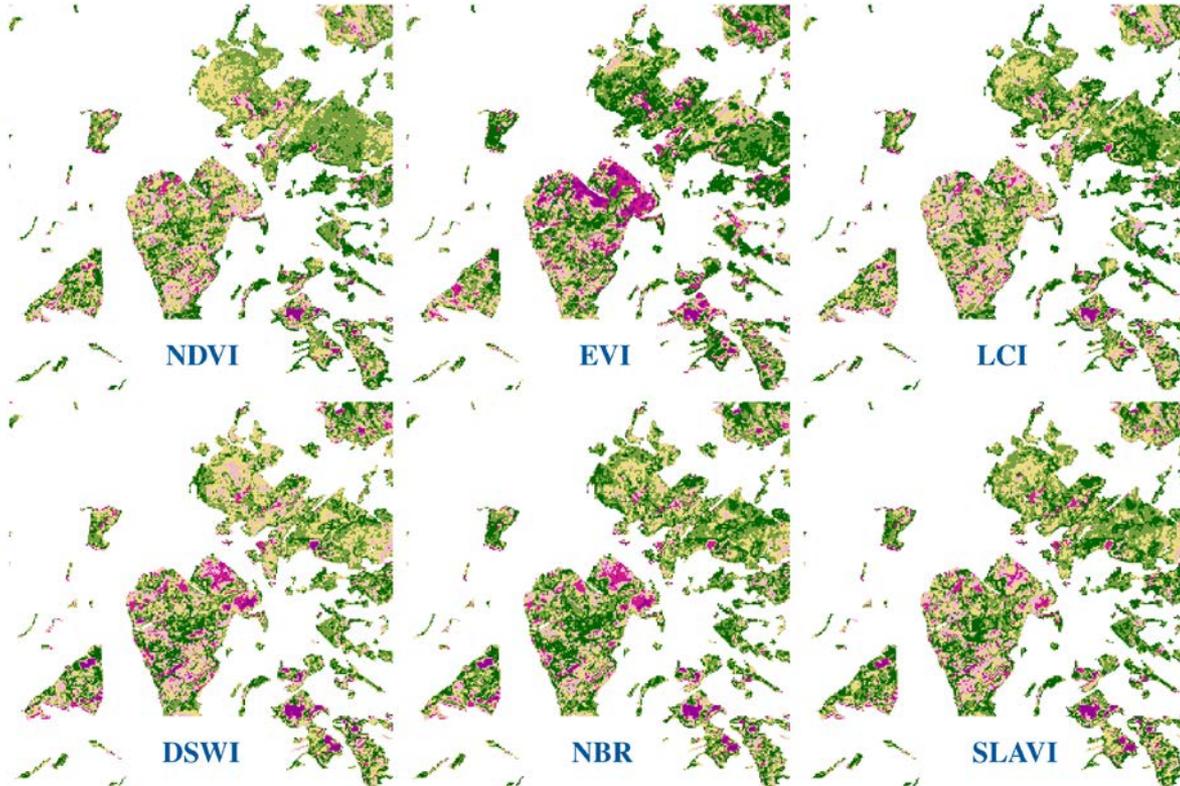
# Example

Bad Liebenstein, Wartburgkreis, central Germany, Sentinel-2 Bands, 2021



# Example

## Bad Liebenstein, Wartburgkreis, central Germany, vegetation indices, 2021



**NDVI** = Normalised Difference Vegetation Index

**EVI** = Enhanced Vegetation Index

**LCI** = Leaf Chlorophyll Index

**DSWI** = Disease Water Stress Index

**NBR** = Normalised Burn Ratio

**SLAVI** = Specific Leaf Area Index

